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Attorney Docket No.		10238.002
First Inventor		Jouas, Gary S.
Title	ENTRAINMENT RESISTANT EVAPORATIVE COOLER PAD FRAME	
Express Mail Label No.		EL806902416US

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Express Mail Label No. EL806902416US

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 18]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (*Appendix*)
8. Nucleotide and/or Amino Acid Sequence Submission (*if applicable, all necessary*)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets]
5. Oath or Declaration [Total Pages]
- a. ☒ Newly executed (original or copy)
Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
- b. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

9. ☒ **Assignment Papers (cover sheet & document(s))**
10. ☐ **37 CFR 3.73(b) Statement (when there is an assignee)** ☒ **Power of Attorney**
11. ☐ **English Translation Document (if applicable)**
12. ☐ **Information Disclosure Statement (IDS)/PTO-1449** ☐ **Copies of IDS Citations**
13. ☐ **Preliminary Amendment**
14. ☒ **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**
15. ☐ **Certified Copy of Priority Document(s) (if foreign priority is claimed)**
16. ☐ **Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.**
17. ☒ **Other: Transmittal Letter**

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: _____ / _____

Prior application information:

Examiner _____

Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ *Customer Number or Bar Code Label*

27,887

or	Correspondence address below
----	------------------------------

Name

Address

City

State

Zip Code

Country .

Telephone

Fax

Name (Print/Type)

Richard E. Oney

Registration No. (Attorney/Agent)

36,884

Signature

Richard E. Owey

Date

Nov. 16, 2009

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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**FEE TRANSMITTAL
for FY 2002**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT(\$)**864****Complete if Known**

Application Number	Unassigned
Filing Date	November 16, 2001
First Named Inventor	Jouas, Gary S.
Examiner Name	Unassigned
Group Art Unit	Unassigned
Attorney Docket No.	10238.002

METHOD OF PAYMENT

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **060590**

Deposit Account Name **Fennemore Craig**

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17☐ Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:**

☒ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	740
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	0

SUBTOTAL (1) (\$)740******2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
20	-20** = 0	X 18	= 0
4	-3** = 1	X 84	= 84
Multiple Dependent			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)84****

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	40
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)40******SUBMITTED BY**

Name (Print/Type)	Richard E. Oney	Registration No. (Attorney/Agent)	36,884	Telephone	602 916-5303
Signature	<i>Richard E. Oney</i>	Date	Nov. 16, 2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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LAW OFFICES
FENNEMORE CRAIG
A PROFESSIONAL CORPORATION

JC978 U.S. PTO
09/991852
11/16/01

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November 16, 2001

VIA EXPRESS MAIL

Commissioner for Patents
United States Patent and Trademark Office
Washington, D. C. 20231

Re: Submission of a New Patent Application
Title: ENTRAINMENT RESISTANT EVAPORATIVE
COOLER PAD FRAME
Inventor: Jouas, Gary
Filing Date: November 16, 2001
File No.: 10238.002

Dear Sir:

We hereby submit the following documents for the referenced application:

1. Fee Transmittal Form for FY 2002 (PTO/SB/17);
2. Utility Patent Application Transmittal Form (PTO/SB/05);
3. Declaration and Power of Attorney;
4. Specification (18 pages) including an abstract, 4 independent claims and 20 total claims;
5. Drawings (3 pages);
6. Assignment;
7. Recordation Form Cover Sheet (PTO-1595);
8. Check No. 219907 for \$40.00 to cover the Assignment Recordation Fee;
9. Check No. 219877 for \$ 824.00 to cover the basic filing fee; and
10. Postage-paid postcard acknowledging receipt of this letter and the foregoing.

FENNEMORE CRAIG

Commissioner for Patents
November 16, 2001
Page 2

Please accord this application a serial number and a filing date. The Commissioner is hereby authorized to charge any additional fee required or credit any overpayments to Deposit Account No. 060590.

Respectfully submitted,

By Richard E. Oney
Richard E. Oney
FENNEMORE CRAIG
Registration No. 36,884

Express Mail Label No. EL806902416US

Date of Deposit 11/16/01

I hereby certify that this paper and all documents and any fee referred to herein are being deposited on the date indicated above with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10, and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Louis A. Lofredo
Louis A. Lofredo, Legal Assistant

11/16/01
Date of Signature